

**INFORMATION DISCLOSURE  
STATEMENT**  
UNDER 37 CFR § 1.97(D)

Address to:  
Mail Stop AF  
Commissioner for Patents  
P.O. Box 1450

|                      |   |
|----------------------|---|
| Attorney Docket      | STAN-130  |
| First Named Inventor | BRIESEWITZ, ROGER   |
| Application Number   | 09/716,841  |
| Filing Date          | November 17, 2000   |
| Group Art Unit       | 1651 ✓  |
| Examiner Name        | NAFF, DAVID M.  |
| Title:               | <i>"BIFUNCTIONAL MOLECULES HAVING<br/>MODULATED PHARMACOKINETIC PROPERTIES<br/>AND THERAPIES BASED THEREON"</i> |

Sir:

This is an Information Disclosure Statement submitted for the Examiner's consideration. This is being filed after a Final Action under 37 C.F.R. §1.113 or a Notice of Allowance under 37 C.F.R. §1.311, whichever occurs first, but before, or simultaneously with, the payment of the issue fee.

A Form SB08 (substitute for form 1449) listing the references accompanies this paper. Applicants would appreciate the Examiner initialing and returning the form to indicate that the references have been reviewed and made of record.

**Statement(s) under 37 CFR § 1.97(e):**

- ☐ (1) That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement; or
- ☒ (2) That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.

This Information Disclosure Statement is not intended as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that any one of the above references constitutes prior art to the present application within the meaning of 35 U.S.C. §102.

The \$180.00 fee set forth in 37 C.F.R. §1.17(p) to cover the petition accompanies this disclosure statement. The Office is hereby authorized to charge any additional fees which may be required by this paper or any fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21, or to credit any overpayment, to our Deposit Account No. 50-0815, Order No. STAN-130 may be charged thereon.



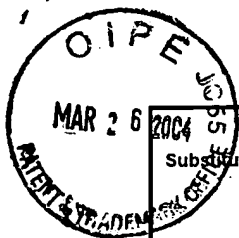
Date: March 26, 2004

Respectfully submitted,  
BOZICEVIC, FIELD & FRANCIS LLP

By: \_\_\_\_\_

Bret E. Field, Reg. No. 37,620

BOZICEVIC, FIELD & FRANCIS  
LLP 200 Middlefield Road, Suite 200  
Menlo Park, CA 94025  
Telephone: (650) 327-3400  
Facsimile: (650) 327-3231



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

|  |   |    |   |                          |                   |
|--|---|----|---|--------------------------|-------------------|
| <b>Substitute for form 1449A/PTO</b><br><br><b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br><i>(use as many sheets as necessary)</i> |   |    |   | <b>Complete if Known</b> |                   |
|  |   |    |   | Application Number       | 09/716,841        |
|  |   |    |   | Filing Date              | November 17, 2000 |
|  |   |    |   | First Named Inventor     | BRIESEWITZ, ROGER |
|  |   |    |   | Art Unit                 | 1651              |
|  |   |    |   | Examiner Name            | NAFF, DAVID M.    |
| Sheet  | 1 | of | 1 | Attorney Docket Number   | STAN-130          |

| U.S. PATENT DOCUMENTS             |                          |  |  |                                |   |   |
|-----------------------------------|--------------------------|--|--|--------------------------------|---|---|
| Examiner<br>Initials <sup>1</sup> | Cite<br>No. <sup>1</sup> | Document Number                          |  | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited<br>Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|                                   |                          | Number-Kind Code <sup>2</sup> (if known) |  |                                |   |   |
|                                   |                          | US- 6,670,348 B1                         |  | 12-30-2003                     | Rosen et al.  |   |
|                                   |                          | US2002/0045570                           |  | 04-18-2002                     | Rosen et al.  |   |

| FOREIGN PATENT DOCUMENTS          |                          |   |  |                                |   |   |                |
|-----------------------------------|--------------------------|---|--|--------------------------------|---|---|----------------|
| Examiner<br>Initials <sup>1</sup> | Cite<br>No. <sup>1</sup> | Foreign Patent Document   |  | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited<br>Document | Pages, Columns, Lines,<br>Where Relevant Passages<br>or Relevant Figures Appear | T <sup>6</sup> |
|                                   |                          | Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known) |  |                                |   |   |                |
|                                   |                          |   |  |                                |   |   |                |
|                                   |                          |   |  |                                |   |   |                |

| OTHER PRIOR ART—NON PATENT LITERATURE DOCUMENTS |                          |  |                |
|---|--------------------------|--|----------------|
| Examiner<br>Initials <sup>1</sup>               | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published | T <sup>2</sup> |
|   |                          | KUDAK et al. "Synthesis and Evaluation of Geldanamycin-Testosterone Hybrids" <i>Bioorg - Med. Chem. Lett.</i> 10 (2000) 1303-1306  |                |
|   |                          |  |                |

|                       |  |                    |  |
|-----------------------|--|--------------------|--|
| Examiner<br>Signature |  | Date<br>Considered |  |
|-----------------------|--|--------------------|--|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.